Alison Taylor,

Professor at NYU
Stern, Author of
"Higher Ground: How
Business Can Do the
Right Thing in a
Turbulent World"



"Success is really about deeply understanding the business and being a partner to the business, not being this kind of judgmental, siloed function off to the side".

ETHICSVERSE

Melanie Sponholz,

Adjunct Professor at Fordham University School of Law, Deputy Compliance Officer at BAYADA Home Health Care



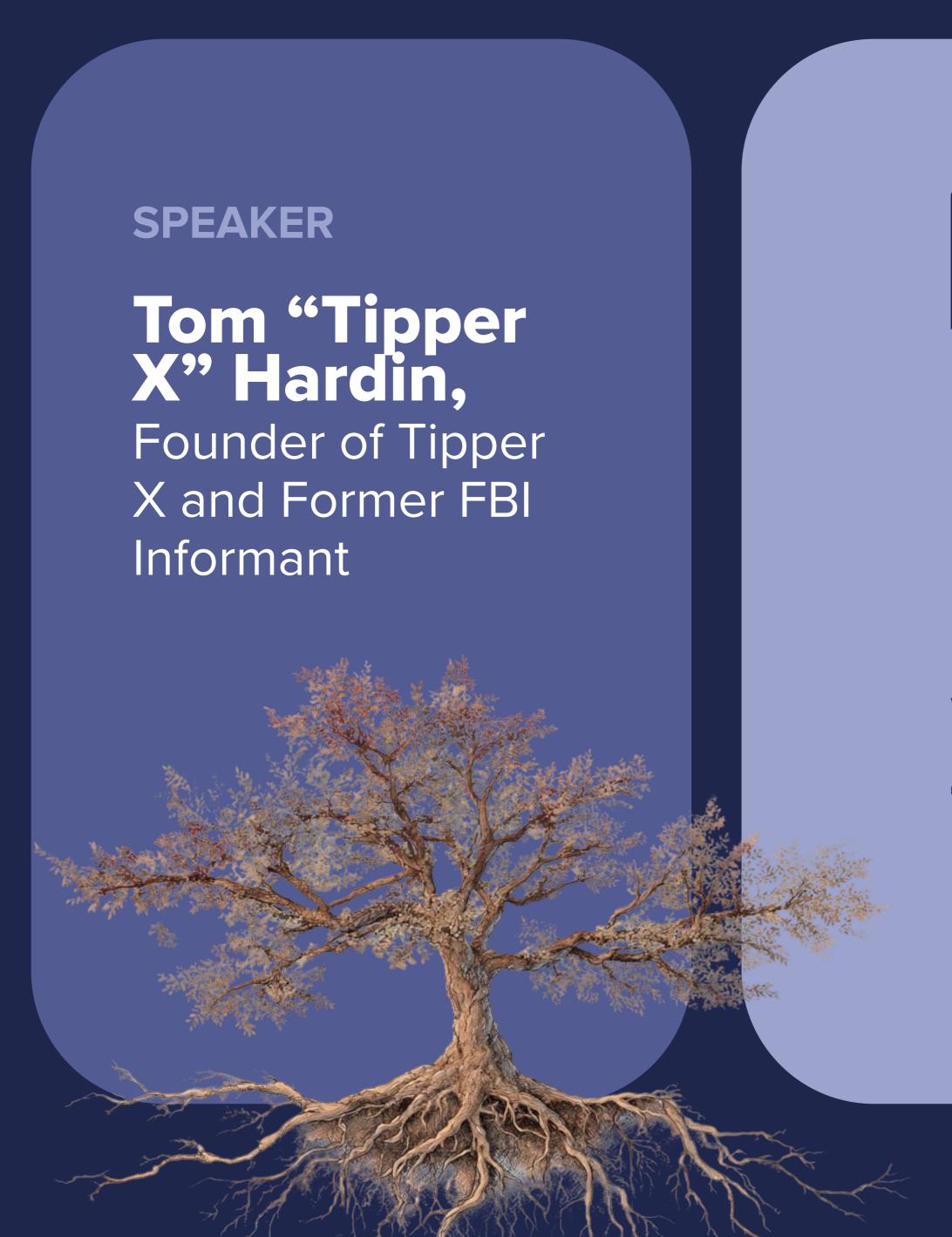
"If you can make people feel seen and heard and understood, that is how you get your influence.

So take the time to listen to people and understand what they need, what they're trying to do, what their personality is. And then when the chips are down and you need them to do something for you, you're going to have the influence you need because you took the time to do that."

ETHICSVERSE







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"Culture is being defined by the behaviors that get rewarded in the company or the behaviors that employees believe will put them ahead. Watch how decisions are made in real time and actually ask what happens to people here who speak up."



Matt Axelrod,

Partner at Gibson Dunn, Former **Assistant Secretary** at the Export Enforcement Bureau of Industry & Security-U.S. Department of Commerce, Former Senior Counselor at the U.S. Department of Justice, Former Special Counsel to The Biden-Harris White House



"Strong compliance programs are essential for two different reasons.

First, one of the express factors that the DOJ considers in deciding what resolution is appropriate in a corporate investigation is the existence and effectiveness of the company's preexisting compliance program.

And second, by having a strong compliance program, you lower the chances you'll ever be in the crosshairs of an investigation in the first place."

ETHISVERSE

Leigha Simonton,

Member/Partner at Dykema, Former U.S. Attorney for the US Attorney's Office for the Northern District of Texas



"The difficult part of being a compliance officer is, you don't know where the fire is going to start. You can't always anticipate it.

That's where the risk assessment comes in. Where do we put our resources? Where do we strengthen our compliance program in terms of what is most likely to go wrong and put our resources there?"



Andrew McBride,

Founder & CEO of Integrity Bridge, Compliance Week 2024 Compliance Program of the Year Winner



"As a compliance professional going in you, you really have to demonstrate that curiosity and humility to learn and get to grips with those other functional processes, because it's so critical to your remediation efforts and your program effectiveness testing."

ETHICSVERSE

Melanie Sponholz,

Adjunct Professor at Fordham University School of Law, Deputy Compliance Officer at BAYADA Home Health Care



"When you go and spend time with the people who are doing the work, you're going to have a completely different perspective on how an organization works and probably what the risks are.

And if you don't take the time to get to know where the potholes are first and you just start giving ivory tower advice based on, you know, what the policy says is going on, you lose your credibility."



ETHICSVERSE

Elizabeth Wilks-Wood, Chief Compliance Officer at Haleon



"There's no policy that I could write that would cover all of the shenanigans that somebody who wants to do wrong would do. Even if I write down 100 things not to do, they'd find the 101st.

It's not about that. It's about the decisions, the actions that you take every day that are in line with our purpose, our value, and who we are as an organization. That's the policy."

ETHIESVERSE

Alison Taylor,

Professor at NYU
Stern, Author of
"Higher Ground:
How Business
Can Do the Right
Thing in a
Turbulent World"

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"Showing that you really care about people first and believe in your people is right now, in 2025, a significant source of competitive advantage."

